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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) |                                                           |  |  |  |  |
|--------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|--|--|--|
| (maximum 12 characters) First Named Inve                                                               | First Named Inventor Richter A. Rafey                     |  |  |  |  |
| Express Mail Lab                                                                                       | el No. <u>EL672747301US</u>                               |  |  |  |  |
| ADDRESS TO:                                                                                            | Assistant Commissioner for Patents Box Patent Application |  |  |  |  |

|    |                | Box Patent Application Washington, D. C. 20231                                                                                                                                                                                                                                                                                                                                                                                                          |
|----|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|    |                | N ELEMENTS apter 600 concerning utility patent application contents.                                                                                                                                                                                                                                                                                                                                                                                    |
| 1. | _X_            | Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)                                                                                                                                                                                                                                                                                                                                                         |
| 2. |                | Applicant Claims Small Entity Status. (37 CFR 1.27)                                                                                                                                                                                                                                                                                                                                                                                                     |
| 3. | <u>X</u>       | Specification (Total Pages) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure |
| 4. | <u>x</u>       | Drawings(s) (35 USC 113) (Total Sheets 6                                                                                                                                                                                                                                                                                                                                                                                                                |
| 5. | <u>X</u>       | Oath or Declaration (Total Pages <u>5</u> )                                                                                                                                                                                                                                                                                                                                                                                                             |
|    |                | a. X Newly Executed (Original or Copy)                                                                                                                                                                                                                                                                                                                                                                                                                  |
|    |                | b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)                                                                                                                                                                                                                                                                                                                                                    |
|    |                | i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).                                                                                                                                                                                                                                                                                                     |
|    |                | c Unsigned.                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 6. |                | Application Data Sheet. (37 CFR 1.76)                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 7. | <u></u>        | CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)                                                                                                                                                                                                                                                                                                                                                                                 |
| 3. | (if applicable | Nucleotide and/or Amino Acid Sequence Submission e, all necessary) Computer Readable Form (CRF)                                                                                                                                                                                                                                                                                                                                                         |
|    | b              | Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or ii paper                                                                                                                                                                                                                                                                                                                                                                              |
|    | С              | Statement verifying identity of above copies                                                                                                                                                                                                                                                                                                                                                                                                            |

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| r                       |                                        | ACCOMPANIVING ADDITION DADTO                                                                                                                                                                                                                                                                                                                                                                                                                            |
|-------------------------|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                         |                                        | ACCOMPANYING APPLICATION PARTS                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>9.</b><br>10.        | x ·                                    | Assignment Papers (cover sheet & documents(s)) a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)                                                                                                                                                                                                                                                                                                                                        |
|                         |                                        | b. Power of Attorney                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 11.                     |                                        | English Translation Document (if applicable)                                                                                                                                                                                                                                                                                                                                                                                                            |
| 12.                     |                                        | a. Information Disclosure Statement (IDS)/PTO-1449                                                                                                                                                                                                                                                                                                                                                                                                      |
|                         |                                        | b. Copies of IDS Citations                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 13.                     |                                        | Preliminary Amendment                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 14.                     | X_                                     | Return Receipt Postcard (MPEP 503) (Should be specifically itemized)                                                                                                                                                                                                                                                                                                                                                                                    |
| 15.                     |                                        | Certified Copy of Priority Document(s) (if foreign priority is claimed)                                                                                                                                                                                                                                                                                                                                                                                 |
| 16.                     |                                        | Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). <u>Applicant must attach form PTO/SB/35 or its equivalent</u> .                                                                                                                                                                                                                                                                                                                              |
| 17.                     |                                        | Other:                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                         |                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 18A.                    | if a CON                               | TINUING APPLICATION, check appropriate box and supply the requisite information:                                                                                                                                                                                                                                                                                                                                                                        |
|                         | Co                                     | ntinuation Divisional Continuation-in-part (CIP)                                                                                                                                                                                                                                                                                                                                                                                                        |
| (whicl                  | h is a c                               | ntinuation Divisional Continuation-in-part (CIP) ication No.: Examiner Group Art Unit continuation/ divisional/ CIP of prior application no,                                                                                                                                                                                                                                                                                                            |
| which                   | h is a c                               | continuation/ divisional/ CIP of prior application no, continuation/ divisional/ CIP of prior application no) (List entire chain of priority)                                                                                                                                                                                                                                                                                                           |
| For C<br>an oa<br>conti | ONTINUAT<br>th or declar<br>nuation or | Iso include a Preliminary Amendment to amend the specification to claim priority. FION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which eration is supplied under Box 5b, is considered a part of the disclosure of the accompanying divisional application and is hereby incorporated by reference. The incorporation can only when a portion has been inadvertently omitted from the submitted application parts. |
| 18B.                    |                                        | t under 37 CFR 3.73(b) for <u>continuing</u> application:                                                                                                                                                                                                                                                                                                                                                                                               |
| the as                  |                                        | rsigned states that (name of assignee) is the entire right, title, and interest in the accompanying patent application by virtue of an                                                                                                                                                                                                                                                                                                                  |
| assig                   | nment rec                              | orded in the Patent and Trademark Office at Reel. No Frame No nich is attached).                                                                                                                                                                                                                                                                                                                                                                        |
|                         |                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 19.                     |                                        | pondence Address                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <u> </u>                | _ Custom                               | ner Number or Bar Code Label(Insert Customer No. or Attach Bar Code Label here)                                                                                                                                                                                                                                                                                                                                                                         |
| _x_                     | _ Corres                               | pondence Address Below                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| NAMI                    | E Maria                                | McCormack Sobrino                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                         |                                        | KELY, SOKOLOFF, TAYLOR & ZAFMAN LLP                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                         |                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| ADDI<br>                | RESS _                                 | 12400 Wilshire Boulevard                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                         | _                                      | Seventh Floor                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| CITY                    | Los Ange                               | eles STATE California ZIP CODE 90025-1026                                                                                                                                                                                                                                                                                                                                                                                                               |
|                         |                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| Coun                    | try <u>U.S</u>                         | S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397                                                                                                                                                                                                                                                                                                                                                                                                        |
| Name                    | (PRINT/T)                              | PE): Maria McCormack Sobrino Registration No.: 31,639                                                                                                                                                                                                                                                                                                                                                                                                   |
|                         |                                        | Maria McCarmail Alune Date: augus + 29, 2001                                                                                                                                                                                                                                                                                                                                                                                                            |

PTO/SB/17(11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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|             |            |          |             | FEE TRANSMITTAL FOR FY                               | 2001                    |
|-------------|------------|----------|-------------|------------------------------------------------------|-------------------------|
|             |            |          |             | TOTAL AMOUNT OF PAYMENT (\$)                         | \$1,132.00              |
| Comple      | ete if Kn  | own:     |             |                                                      |                         |
|             | ation No   |          | ***         |                                                      |                         |
| Filing [    |            |          |             | st 29, 2001                                          |                         |
|             | amed In    |          |             | r A. Rafey                                           |                         |
|             | Art Unit _ |          | ***         |                                                      |                         |
|             | er Name    |          |             |                                                      |                         |
|             | y Docket   |          |             | 8.P446                                               |                         |
|             |            | PAYMEN   | •           |                                                      |                         |
| 1. [<br>    | [ X ]      |          |             | ioner is hereby authorized to charge in<br>nents to: | dicated fees and credit |
|             |            |          |             | unt Number <u>02-2666</u><br>unt Name                |                         |
| [           | <b>x</b> ] | Charge   | Any A       | dditional Fee Required Under 37 CFR 1                | .16 and 1.17            |
| [           | ]          | Applica  | ant clai    | ms small entity status. See 37 CFR 1.2               | 7                       |
| 2           | <u> </u>   | Payme    | nt Enci     | osed: X Check Credit Card Money Order Other          |                         |
| EEE C       | ALCUL      | ΔΤΙΩΝ    |             |                                                      |                         |
| FEEC        | ALCUL      | ATION    |             |                                                      |                         |
| 1. <u>I</u> | BASIC F    | ILING F  | <u>EE</u>   |                                                      |                         |
| Large E     | Entity     | Small E  | Entity      |                                                      |                         |
| Fee         | Fee        | Fee      | Fee         |                                                      |                         |
| Code        | (\$)       | Code     | (\$)        | Fee Description                                      | Fee Paid                |
| 101         | 710        | 201      | 355         | Utility application filing fee                       | 710.00                  |
| 106         | 320        | 206      | 160         | Design application filing fee                        |                         |
| 107         | 490        | 207      | 245         | Plant filing fee                                     |                         |
| 108         | 710        | 208      | 355         | Reissue filing fee                                   | <del></del>             |
| 114         | 150        | 214      | 75          | Provisional application filing fee                   |                         |
|             |            |          |             |                                                      | SUBTOTAL (1) \$ 710.00  |
| 2.          | -XTRA      | CLAIM F  | FFS         |                                                      | Fee from                |
|             | -2001123   | <u> </u> |             | Extra Claims                                         | below Fee Paid          |
| Total (     | Claims     | _39      |             | <b>-20**</b> = <u>19</u>                             | X 18.00 = 342.00        |
| Indepe      | endent (   | Claims   | 4           | -3** = <u>1</u>                                      | X = 80.00 = 80.00       |
|             | le Depe    |          | <del></del> |                                                      | =                       |
|             |            |          | ly paid     | l, if greater; For Reissues, see belov               | w.                      |
| Large E     |            | Small E  |             | , <u>G. 2</u>                                        |                         |
| Fee         | Fee        | Fee      | Fee         |                                                      |                         |
| Code        | (\$)       | Code     | (\$)        | Fee Description                                      |                         |
| 103         | 18         | 203      | `´9         | Claims in excess of 20                               |                         |
| 102         | 80         | 202      | 40          | Independent claims in excess of 3                    |                         |
| 104         | 270        | 204      | 135         | Multiple dependent claim, if not paid                |                         |
| 109         | 80         | 209      | 40          | **Reissue independent claims over o                  | riginal patent          |
| 110         | 18         | 210      | 9           | **Reissue claims in excess of 20 and                 |                         |
|             |            |          |             |                                                      | SUBTOTAL (2) \$ 422.00  |



## 3. ADDITIONAL FEES

| <u>Large E</u> |            | Small E      |           |                                                                                |
|----------------|------------|--------------|-----------|--------------------------------------------------------------------------------|
| Fee            | Fee        | Fee          | Fee       | Pro Bossilation Fra Bald                                                       |
| Code           | (\$)       | Code         | (\$)      | Fee Description Fee Paid                                                       |
| 105            | 130        | 205          | 65<br>05  | Surcharge - late filing fee or oath                                            |
| 127            | 50         | 227          | 25        | Surcharge - late provisional filing fee<br>or cover sheet                      |
| 139            | 130        | 139          | 130       | Non-English specification                                                      |
| 147            | 2,520      | 147          | 2,520     | For filing a request for ex parte reexamination                                |
| 112            | 920*       | 112          | 920*      | Requesting publication of SIR prior to                                         |
|                | JEU        | 112          | JEU       | Examiner action                                                                |
| 113            | 1,840*     | 113          | 1,840*    | Requesting publication of SIR after                                            |
|                | .,         |              | .,        | Examiner action                                                                |
| 115            | 110        | 215          | 55        | Extension for reply within first month                                         |
| 116            | 390        | 216          | 195       | Extension for reply within second month                                        |
| 117            | 890        | 217          | 445       | Extension for reply within third month                                         |
| 118            | 1,390      | 218          | 695       | Extension for reply within fourth month                                        |
| 128            | 1,890      | 228          | 945       | Extension for reply within fifth month                                         |
| 119            | 310        | 219          | 155       | Notice of Appeal                                                               |
| 120            | 310        | 220          | 155       | Filing a brief in support of an appeal                                         |
| 121            | 270        | 221          | 135       | Request for oral hearing                                                       |
| 138            | 1,510      | 138          | 1,510     | Petition to institute a public use proceeding                                  |
| 140            | 110        | 240          | 55        | Petition to revive – unavoidable                                               |
| 141            | 1,240      | 241          | 620       | Petition to revive - unintentional                                             |
| 142            | 1,240      | 242          | 620       | Utility issue fee (or reissue)                                                 |
| 143            | 440        | 243          | 220       | Design issue fee                                                               |
| 144            | 600        | 244          | 300       | Plant issue fee                                                                |
| 122<br>123     | 130<br>50  | 122          | 130<br>50 | Petitions to the Commissioner  Processing for under 27 CER 1 17(a)             |
| 123<br>126     | 180        | 123<br>126   | 180       | Processing fee under 37 CFR 1.17(q)  Submission of Information Disclosure Stmt |
| 581            | 40         | 581          | 40        | Recording each patent assignment per                                           |
| JO 1           | 40         | 301          | 40        | property (times number of properties)                                          |
| 146            | 710        | 246          | 355       | For filing a submission after final rejection                                  |
| 140            | ,          |              | 000       | (see 37 CFR 1.129(a))                                                          |
| 149            | 710        | 249          | 355       | For each additional invention to be examined                                   |
|                |            |              |           | (see 37 CFR 1.129(b))                                                          |
| 179            | 710        | 279          | 355       | Request for Continued Examination (RCE)                                        |
| 169            | 900        | 169          | 900       | Request for expedited examination of a design                                  |
|                |            |              |           | application                                                                    |
| 195            | 300        | 195          | 300       | Publication fee for early, voluntary, or normal                                |
|                |            |              |           | publication                                                                    |
| 196            | 300        | 196          | 300       | Publication fee for republication                                              |
| 194            | 130        | 194          | 130       | Request for voluntary publication or republication                             |
| )98<br>)01     | 130        | 098          | 130       | Processing fee under 37 CFR 1.17(i)                                            |
| )91            | 1,240      | 091          | 1,240     | Acceptance of unintentionally delayed claim for priority                       |
| Other fe       | ee (specif | y)           |           |                                                                                |
| Other fo       | ee (specif | —<br>نر)     |           |                                                                                |
| -11.01 10      | .opeon     | ,,           |           | CUDTOTAL (2) &                                                                 |
| Reduce         | d by Basic | : Filing Fee | Paid      | SUBTOTAL (3) \$                                                                |
| SUBMI          | TTED BY    | <u>/</u> :   |           |                                                                                |
|                |            | ed Name:     |           | McCormack Sobrino                                                              |
| Signatu        | ure:       | Mar          | ia Me     | Corman Auluno Date: Ougust 29, 2001                                            |
|                | umber:     | 31,639       |           | Telephone Number: 408-720-8300                                                 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

01/25/01